

UPC FACT CHECK – SUCCESS RATES, REVOCATION RATES & GROUNDS FOR REVOCATION

Sabrina Hütt, Patent Attorney BARDEHLE PAGENBERG

Dr. Tobias Wuttke, Attorney-at-Law BARDEHLE PAGENBERG



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Impact.
Passion.

Options to attack the validity of a patent





Which option is the right one to take?

Why not choose more than one?

Counterclaim for Revocation

Action for Revocation

EPO Opposition

National Revocation Action

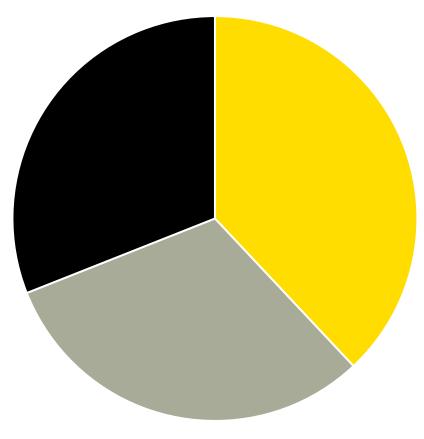
UPC Fact Check – success rates, revocation rates & grounds for revocation

9/11/2025

UPC revocation rates



58 cases (Counterclaim + Central Revocation Action)



Maintained as granted (38%)

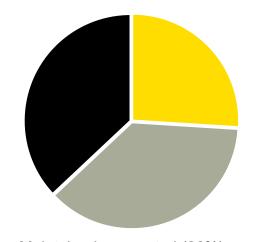
■ Maintained in amended form (31%)

■ Revoked in its entirety (31%)

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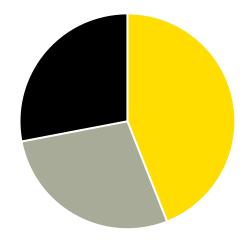
UPC revocation rates - Central Revocation Action vs. Counterclaim for Revocation

Total (Central Revocation Action): 19 cases



- Maintained as granted (26%)
- Maintained in amended form (37%)
- Revoked in its entirety (37%)

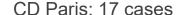
Total (Counterclaim for Revocation): 39 cases

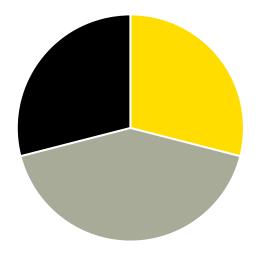


- Maintained as granted (44%)
- Maintained in amended form (28%)
- Revoked in its entirety (28%)

UPC revocation rates for Central Revocation Actions

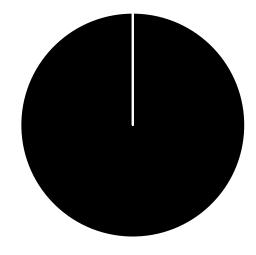






- Maintained as granted (29%)
- Maintained in amended form (42%)
- Revoked in its entirety (29%)

CD Munich: 2 cases



- Maintained as granted (0%)
- Maintained in amended form (0%)
- Revoked in its entirety (100%)

UPC revocation rates for Counterclaims for Revocation



LD Munich: 9 cases



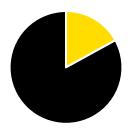
- Maintained as granted (33%)
- Maintained in amended form (45%)
- Revoked in its entirety (22%)

LD Mannheim: 6 cases



- Maintained as granted (50%)
- Maintained in amended form (17%)
- Revoked in its entirety (33%)

LD Paris: 6 cases



- Maintained as granted (17%)
- Maintained in amended form (0%)
- Revoked in its entirety (83%)

RD Nordic Baltic: 1 case



LD Düsseldorf: 10 cases



- Maintained as granted (60%)
- Maintained in amended form (20%)
- Revoked in its entirety (20%)

LD Hamburg: 4 cases



- Maintained as granted (50%)
- Maintained in amended form (50%)
- Revoked in its entirety (0%)

LD The Hague: 3 cases



- Maintained as granted (67%)
- Maintained in amended form (33%)
- Revoked in its entirety (0%)

- Maintained as granted (0%)
- Maintained in amended form (100%)
- Revoked in its entirety (0%)

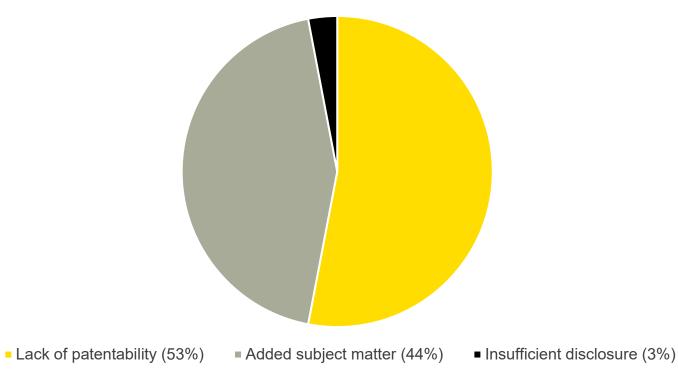
UPC Fact Check – success rates, revocation rates & grounds for revocation 9/11/2025

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UPC – Grounds for revocation

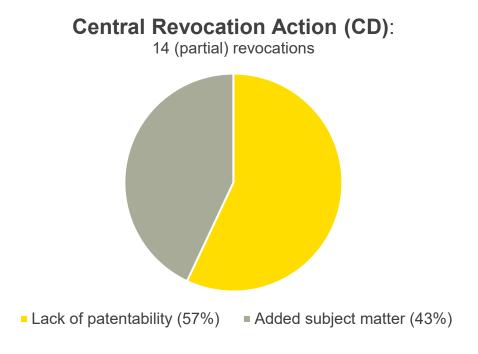


36 (partial) revocations (Counterclaim + Central Revocation Action)



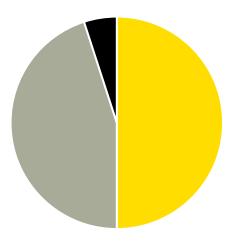
UPC – **Grounds for revocation**Central Revocation Action vs. Counterclaim for Revocation





Counterclaim for Revocation (LD):

22 (partial) revocations



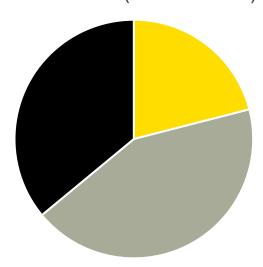
Lack of patentability (50%) ■ Added subject matter (45%)

■ Insufficient disclosure (5%)

EPO revocation rates

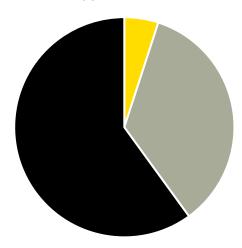


Opposition Division (first instance) in 2023



- Maintained as granted (21%)
- Maintained in amended form (43%)
- Revoked in its entirety (36%)

696 opposition <u>appeal</u> proceedings in 2024 (in which the Board of Appeal made a substantive decision)

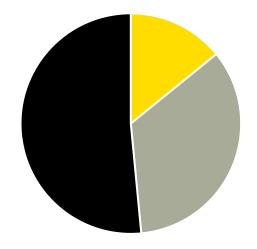


- Maintained as granted (5%)
- Maintained in amended form (35%)
- Revoked in its entirety (60%)

German National Revocation Action – Revocation rates

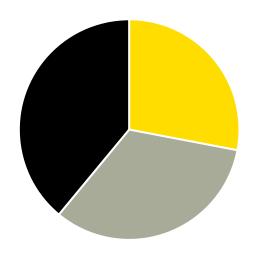


Federal Patent Court 567 cases between 2019 and 2024



- Maintained as granted (14%)
- Maintained in amended form (34%)
- Revoked in its entirety (51%)

Federal Court of Justice 255 cases between 2019 and 2024

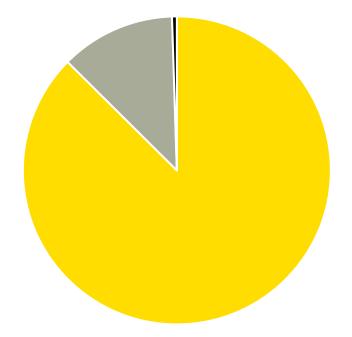


- Maintained as granted (28%)
- Maintained in amended form (33%)
- Revoked in its entirety (39%)

Federal Patent Court - Grounds for revocation







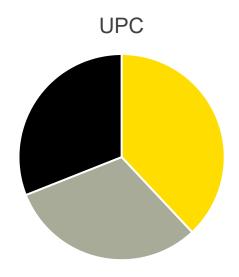
Lack of patentability (87%)

■ Added subject matter (12%)

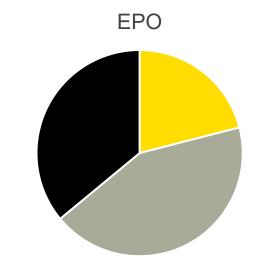
■ Insufficient disclosure (1%)

Comparison of revocation rates at the UPC, EPO and FPC

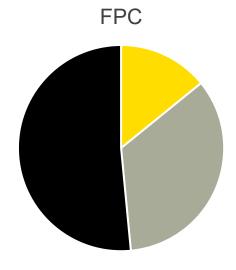




- Maintained as granted (38%)
- Maintained in amended form (31%)
- Revoked in its entirety 31%)



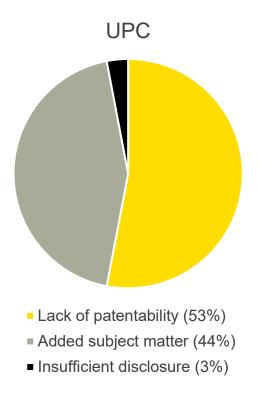
- Maintained as granted (21%)
- Maintained in amended form (43%)
- Revoked in its entirety (36%)

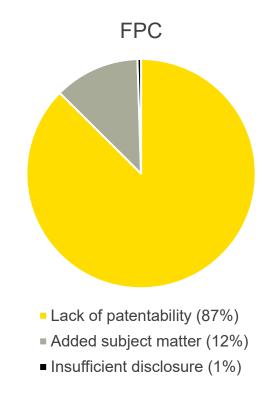


- Maintained as granted (14%)
- Maintained in amended form (34%)
- Revoked in its entirety (51%)

Comparison of grounds for revocation at the UPC and FPC





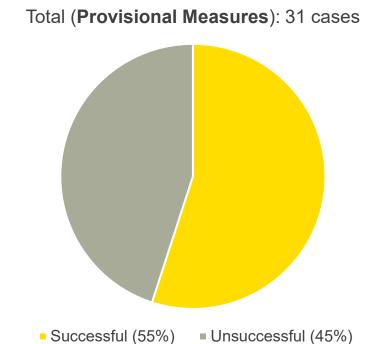


UPC **success rates** – Main Action vs. Provisional Measures



Total (Main Action): 49 cases

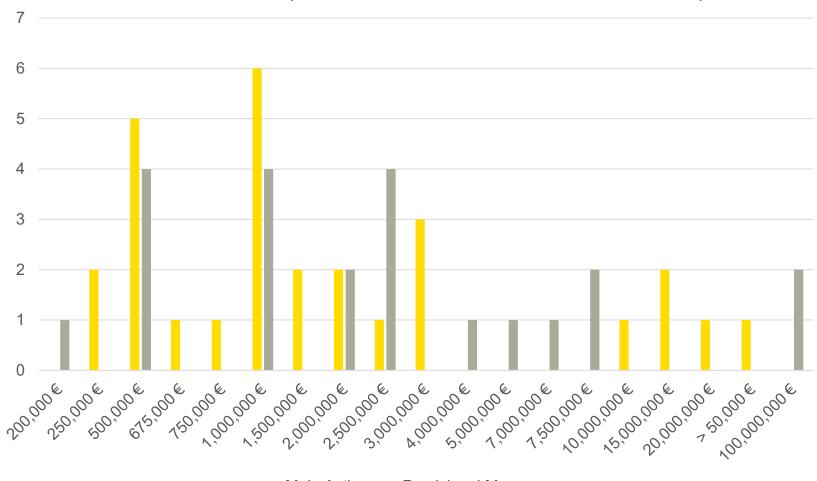
Successful (51%) Unsuccessful (49%)







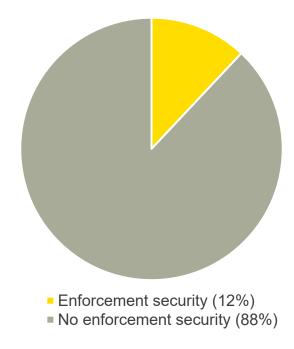
Value of action (Main Action vs Provisional Measures)



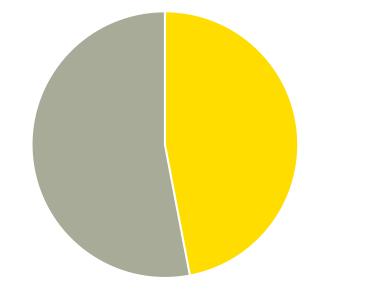
UPC enforcement security – Main Action vs. Provisional Measures



Total (Main Action): 25 successful cases



Total (Provisional Measures): 17 successful cases

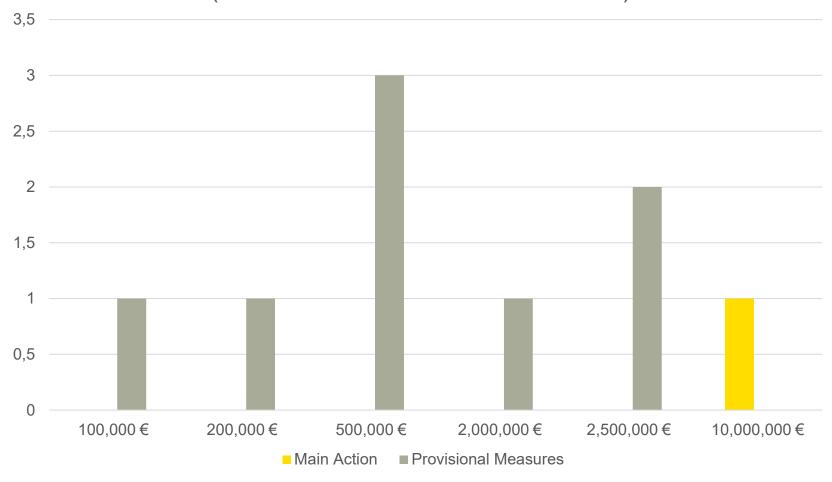


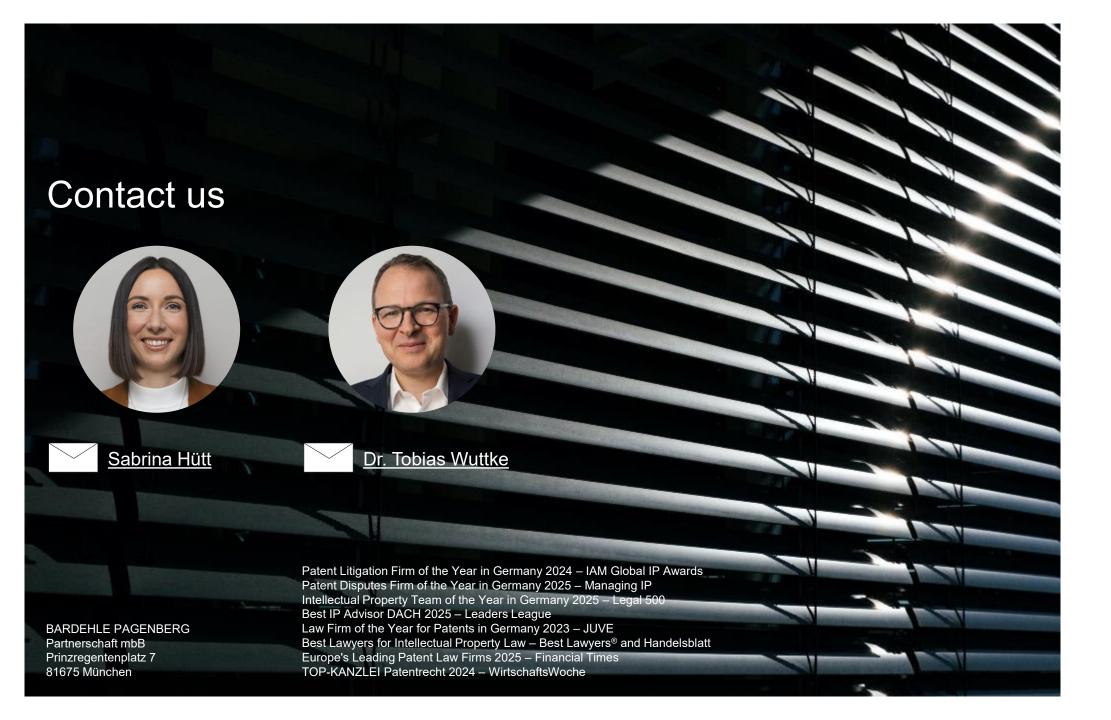
■ Enforcement security (47%) ■ No enforcement security (53%)

UPC enforcement security — Main Action vs. Provisional Measures



Value of enforcement security (Main Action vs Provisional Measures)







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